

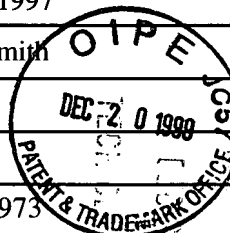
AF/GP 2744

PTO/SB/21(10/96)

Approved for filing through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	08/828,370	
	<b>Filing Date</b>	March 28, 1997	
	<b>First Named Inventor</b>	James P. Smith	
	<b>Group Art Unit</b>	2744	
	<b>Examiner Name</b>	W. Trost	
<b>Total Number of Pages in This Submission</b>	15	<b>Attorney Docket Number</b>	042390.P3973



ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	Return postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas M. Coester, Reg. No. 39,637 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	<i>Thomas Coester</i>
Date	December 17, 1999

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>December 17, 1999</b>			
Typed or printed name	Laura Harmon		
Signature	<i>Laura Harmon</i>	Date	12/17/99

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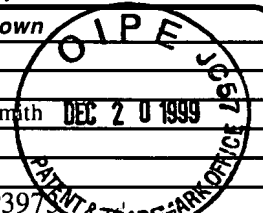
# FEE TRANSMITTAL

*Petent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.28 and 1.28.*

**TOTAL AMOUNT OF PAYMENT** (\$) 0.00

## Complete if Known

Application Number	08/828,370
Filing Date	03/28/97
First Named Inventor	James P. Smith
Examiner Name	W. Trost
Group Art Unit	2744
Attorney Docket Number	042390.P397



## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/01/96)

### 1. FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	201	380	Utility filing fee	
106	206	155	Design filing fee	
107	207	240	Plant filing fee	
108	208	380	Reissue filing fee	
114	214	75	Provisional filing fee	

**SUBTOTAL (1)** (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	22** =	0 X 18.00 =	\$0.00
4	5** =	0 X 78.00 =	\$0.00

Multiple Dependent Claims

\*\*or number previously paid, if greater; For Reissues, see below

### Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	203	9 Claims in excess of 20
102	202	39 Independent claims in excess of 3
104	204	135 Multiple Dependent claim
109	209	39 **Reissue independent claims over original patent
110	210	9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	205	65	Surcharge - late filing fee or oath	
127	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	239	130	Non-English specification	
147	247	2,520	For filing a request for reexamination	
112	212	920	Requesting publication of SIR prior to Examiner action	
113	213	1,840	Requesting publication of SIR after Examiner action	
115	215	55	Extension for response within first month	
116	216	190	Extension for response within second month	
117	217	435	Extension for response within third month	
118	218	680	Extension for response within fourth month	
128	228	925	Extension for response within fifth month	
119	219	150	Notice of Appeal	
120	220	150	Filing a brief in support of an appeal	
121	221	130	Request for oral hearing	
138	238	1,360	Petition to institute a public use proceeding	
140	240	55	Petition to revive - unavoidably	
141	241	605	Petition to revive - unintentionally	
142	242	605	Utility issue fee (or reissue)	
143	243	215	Design issue fee	
144	244	290	Plant issue fee	
122	222	130	Petitions to the Commissioner	
123	223	50	Petitions related to provisional applications	
126	226	240	Submission of Information Disclosure Stmt	
581	281	40	Recording each patent assignment per property (times number of properties)	
146	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)** (\$)

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637	Complete (if applicable)	Reg. Number
Signature	<i>Thomas Coester</i>	Date	12/17/99
		Deposit Account User ID	02-2666

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See 37 C.F.R. §§ 1.28 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete If Known

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107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	22** =	0 X 18.00 =	\$0.00
Independent Claims	4 - 5** =	0 X 78.00 =	\$0.00
Multiple Dependent Claims			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	270	204	135	Multiple Dependent claim
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
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Other fee (specify)					
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

## SUBMITTED BY

Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637			Complete (if applicable)	
Signature	Thomas Coester	Date	12/17/99	Reg. Number	
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